

Status of Financial / Administrative System Approval Requests

Period ending June 30, 2009

Purpose

The purpose of this report is to summarize the status of systems approval requests received by OFM since the new approval process started in November 2002. All [pending](#) requests are listed first, followed by [resolved](#) requests and then [systems approval issues](#). All lists are presented alphabetically by agency. The rows [highlighted](#) are those requests received in FY2009.

Agencies anticipating financial / administrative system projects follow the approval requirements on the Systems Approval web site at <http://www.ofm.wa.gov/systemsapproval/default.asp>.

The OFM budget analyst, DIS senior technology management consultant, and OFM financial consultant for the requesting agencies are notified whenever new requests are received for their assigned agencies and are invited to participate in resolution of the pending requests.

Please contact Sadie Rodriguez-Hawkins or Lee Hall for any additional information. Sadie can be reached at 360-725-0179 or Sadie.Hawkins@ofm.wa.gov and Lee can be reached at 360-725-0220 or Lee.Hall@ofm.wa.gov.

Requests Pending Receipt / Review

Agency	Rcvd	Business Functions	Scope	Status
DSHS	5/11/09	Client Receivables System; converting from mainframe to server	\$91,872 + \$91,872 ongoing	DSHS is working on the cost savings estimates and staff hours required to complete.

Resolved

Agency	Rcvd	Business Functions	Scope	Status
BVFF&RO	3/17/08	Database replacement project for workers compensation and pension benefits.	\$306,490 + \$10,040 ongoing	Approved requirements identification phase of project 4/10/08. BVFF&RO to review requirements with OFM and DIS to help determine next steps.
CIS	9/10/07	Electronically distribute CTC's funds (financial aid and tuition refunds to students and vendors.	Est. \$300,000 + est. \$200,000 ongoing	Project cancelled.
CTED	8/25/08	Loans Database Upgrade	\$120,000 ongoing	Approved 10/21/08
CTED/ECY		Joint contract/grant/project payables management system	\$3 million	OFM, CTED and Ecology built the Interim Enterprise Contracts Management System.
DFI	2/8/08	Imaging workflow expansion for revenue processing	\$450,000 + \$7,773 ongoing	Approved 3/4/08 Phase 1 only as outlined in investment plan.
DFW	12/6/02	Adopt IAC's PRISM contracts management system	\$200,000 + \$50,000 / year	Approved 12/20/02 (with condition of OFM involvement)
DNR	10/01/06	Upgrade Payroll, Cost Allocation and AFRS interface systems	\$378,600 + \$18,000 ongoing	Approved 11/706
DNR	12/10/02	Revenue / Accounts Receivable	\$2.6 million	Approved 8/6/04
DOL	9/13/06	Counter cash handling system – enhance the	\$230,000 + \$30,000 ongoing	Approved 9/13/06



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		existing Department of Licensing Revenue Validation System (RevVal) as needed to identify licenses purchased at the point of sale and to avoid the duplication of effort inherent in the current process.		
DOL	1/10/06	Enhance L&I's contracts database	\$25,000+\$23,000 for ¼ FTE IT support	Several agencies are currently planning an enterprise agreement management system.
DOH	9/19/03	Learning Management System	Not to exceed \$297,000	No approval required (email 9/23/03)
DOH	4/10/03	Agency upgrade to ADDS (AFRS Data Distribution System), in partnership with CTED.	\$100,000 + \$10,000 / year	Approved 9/23/03 (with conditions of sharing Crystal Enterprise implementation information with SWFS and developing a proof of concept to use the SWFS warehouse as the source of data for the ADDS-related application)
DOL	4/10/07	Accounts Payable – automate process for detecting duplicate payments	\$50,000 + \$23,000 ongoing	No approval required (see response letter, dated 5/15/07)
DOL	12/12/02	Unisys Replatforming Project	\$8.4 million	No approval required (email 12/18/02)
DOR	2/4/08	Financial Information System	\$48,000 + \$37,000 ongoing	Denied 6/23/08 – OFM does not grant retroactive approval.
DOR	2/4/08	Legislative Tracking System	\$209,000 + \$70,000	OFM systems approval not required.
DOR	2/4/08	Performance Measurement Tracking System	\$48,000 + \$37,000	Denied 6/23/08 – OFM does not grant retroactive approval.
DOR	6/3/09	Cash Receipts Reporting System	\$300,000 + \$17,500 ongoing	Approved 6/30/09
DOT	6/12/07	Replace the STAR system, which tracks federal funding from the Federal Highway Administration (FHWA) and operational compliance for the Highways and Local Programs division	\$277,806 + \$40,000 annual maintenance	Approved 9/9/07
DOT	10/27/06	AOSS Replacement	\$1,170,000 + \$20,000/biennium	Approved 12/19/06
DOT	7/14/06	Modifications to LNI's contracts database	\$25,00 + \$1,000 to \$2000 annual maintenance	Approved 9/6/06
DOT	10/4/05	Tacoma Narrows Bridge Toll Collection and Accounting System (TCAAS)	\$9,163,681	Approved 11/15/05
DOT	2/10/03	Time Management	\$1 million + \$210,000 / year	Approved 3/5/03
DOT	2/10/03	Ferry Revenue Management	\$11.7 million +	Approved 3/5/03



Agency	Rcvd	Business Functions	Scope	Status
			\$860,000 / year	
DOT	3/06/03	Supply Management Data Mart	\$70,000 + \$800 / yr	Approved 3/17/03
DOT	2/2/08	Learning Content Management System (LCMS)	Est. \$130,000 + ~\$55,000 ongoing	Approved 10/24/08
DOT	6/18/08	Internet Bidding System for highway construction contract bidding	Est. \$70,000 - \$90,000 + \$15,000 ongoing	Approved 10/24/08
DOT	9/15/08	P-Card Reconciliation system	EST. \$239,924 + \$12,610 ongoing	Approved 10/24/08
DOT	2/2/09	eLearning LCMS	Revised \$85,000 + \$46,000 ongoing	Approved 5/6/09 for DOT to use DOP's existing master contract for either the LMS/LCMS or a decoupled LCMS only.
DSB	8/23/05	Case Management system approval request	\$839,100	Approved development of RFP for a new case management system 9/30/05
DSHS	6/20/08	Facilities Condition Assessment	\$80,000 + \$20,000 ongoing	Approved 7/21/08
DSHS	5/1/08	Provider Payroll for Individual and Child Care Providers	\$9,375,000 + \$8,013,000 ongoing	Approved 5/28/08
DSHS	5/08/07	Uniform Benefits Project to implement union benefits into the Social Services Payment System (SSPS).	\$3,433,940 + \$327,000 for first 3 years	Approved 6/29/07
DSHS	9/13/06	Debt Management Hosted Services System (CRS and RPS replacement)	Unknown	Systems approval not required.
DSHS	8/18/06	Enhance the Support Enforcement Management System (SEMS) financial sub-system to assess, collect, and report on the federal mandate to impose a \$25 annual fee on each individual that never received TANF benefits and for which the program collects \$500 a year.	\$2,624,000 implementation + \$170,000 ongoing.	10/13/06 Approved modifications if the Legislature approves the collection of the fee from clients.
DSHS	8/04/06	Feasibility study for a new provider payroll system	Unavailable	Feasibility study approved only – DSHS is required to submit another request for approval to implement a new solution.
DSHS	7/14/06	Common Learning Management System (LMS) to support developing, delivering and tracking training for its staff and providers.	\$ 932,627 + \$503,627 ongoing	9/12/06 – Approved
DSHS	8/31/05	Taxes Project	\$481,771+ \$24,000/yr	11/15/05 – Approved to build temporary tax reporting database until functionality is available through



Agency	Rcvd	Business Functions	Scope	Status
				Provider One.
DSHS	10/08/04	Agency Contracts Database (ACD)	\$75,000	Approved 11/30/04
DSHS	3/08/04	Medical Management Information System (MMIS)	\$18-26 M	No Approval Necessary. Majority of the system will support a "stream-of-business" solution. 10/22/04- letter sent from Sadie.
DSHS	3/3/03	Contracts Management System enhancements	\$230,000	Approved 3/10/03
DSHS	6/27/03	TRACKS capital asset management system (retroactive approval request)	Proposed dates: 11/1/03 to 10/31/04	Approved 7/14/03 (until a fully functional statewide solution is available; DSHS must then submit a business case for continuing with TRACKS)
DSHS	10/16/03	Purchasing & Capital Asset Mgt – Migrate the TRACKS application from ASP and Visual Basic to .NET. Included in the migration will be key bug fixes and the addition of yet to be determined enhancement/modules, enhancements to occur with greater speed and ease, and <i>ensure TRACKS effectiveness as it is exported to other agencies.</i>	\$254,000 4 FTEs	Approved 11/03/03 (with following limitations: <u>procurement portion</u> only until OFM's asset management feasibility study is complete, and <u>technical improvements</u> only – operations and maintenance)
DSHS	12/15/08	Grant Management System	\$200,000 + \$218,000 ongoing	Deemed an M&O projects; no approval necessary 1/15/09
DVA	7/22/03	Access database as an alternative to statewide CAMS	[MS Access database developed by DVA staff]	Approved 8/8/03 (until a fully functional statewide solution is available, and with the condition that the Access-based CAMS meets future SAAM policies)
ESD	1/10/06	Enhance contracts database	\$7,000-\$10,000+\$10,000-\$50,000 consulting costs	Several agencies are currently planning an enterprise agreement management system.
ESD	9/11/08	Asset Management System enhancement	\$160,000 + \$13,600/yr ongoing	Approved 1/15/09
EWU	1/03/05	FRS	\$587,000 + \$197,500/yr	Approved 2/2/05
GMBL	10/03/05	Gambling Information Management System (GIMS)	\$940,000 + \$20,000/yr	12/2/05 – Approved to proceed with Phase 1 – release of RFP, selection of apparent successful vendor, and work with the vendor to refine systems requirements.
HECB	2/4/03	Roadmap – networking / middleware	\$1.5 million	Approved 3/5/03



Agency	Rcvd	Business Functions	Scope	Status
L&I	10/11/04	Contract Database: Enhance OFM's PSCD to meet business needs.	\$152,300 + \$45,000 / year	Approved 12/2/04 for requirements identification. Once the requirements are clarified and confirmed with members of the Contracts Managers group a meeting must be scheduled with OFM and DIS to discuss next steps.
L&I	5/29/03	Claims overpayment accounts receivable & collection	\$2.7 million for 2001-03 + \$6.1 million for 2003-05	Approved 10/9/03
L&I	9/19/03	Asset Tracking System (ATS)	\$250,000 + \$23,000 / year	Approved 10/15/03
LCB	3/24/03	Merchandising Business System (GERS): Point of sale, with potential for full general ledger functionality.	\$4.8 million + \$425,000 / year	Approved 5/8/03 (with condition of OFM involvement)
Lottery	8/15/08	Integrated Financial System	Est. \$708,388 + \$13,000/yr ongoing	5/4/09 Lottery did not get approval or funding for this project in the 2009-2011 budget. Project cancelled for now.
OMWBE	10/09/06	CMATS Statewide Rollout	\$282,384 + \$243,475	Denied 2/22/07
OSPI	6/16/09	Apportionment Rehost Grant Claims Subsystem	\$500,000	Approved 6/17/09 so that RFP could be submitted.
OWMBE	9/29/05	CMAT approval request	\$257,215 + \$123,164/yr	10/18/05 – Approved by Victor Moore to provide planned enhancements to CMATS for the Department of Transportation, as defined in OMWBE's Personnel Service Contract BIP-2005-02.
SBA	5/19/08	Online CPA license renewal	\$140,000 + \$5,000 ongoing for next 5 years	Approved 7/11/08
SBCTC	7/22/07	Central purchasing system	\$1,000,000 + \$750,000 ongoing	Approved 9/5/07 with condition that investment plan and letter describing any impacts to the re-hosting projects are reviewed and approved by DIS prior to releasing the RFP.
SBCTC	12/17/02	Re-hosting of administrative systems	\$20.2 million	Approved 2/7/03
UTC	8/11/04	Cost Allocation v2	\$150,000 from WUTC 2003-05 budget to build interfaces and allocation functionality	Approved 10/29/04
UTC	3/27/03	WUTC proposed to purchase Navision to perform the same business functions currently performed by aging agency	\$122,000 + \$7,500 / year	Denied 4/08/03 (hand-delivered, denied with invitation to submit an alternative proposal for a more effective solution)



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		systems: Cost Allocation / Revenue / Labor Distribution		
UW	5/17/07	Electronic Faculty Effort Certification System (eFECS)	\$1,657,500 + \$350,000-\$435,000 ongoing	Approved 9/5/07 – Core functionality phase only
WSAC	3/12/08	Online granting system	\$110,000 + \$10,000 ongoing	Approved 8/22/08
WSP	14/04/07	Paid Inquiry Document System (PIDS) allow the WSP to automatically account for background check fees, automate the collection of applicant information, produce reports, secure electronic receipt of requests, and secure electronic transmission of results.	\$899,935	Approved 3/15/07
WSU	8/26/08	Student Core Computer System Replacement	\$24 million + \$2.1 million/yr ongoing	Approved 10/17/08



Systems Approval - Related Issues

Agency	Rcvd	Business Functions	Scope	Status
DNR	8/19/05 – email	FASTER Fleet Management System	Emailed request for retroactive approval to use FASTER to meet SAAM capital asset tracking requirements	This is not a systems approval request – DNR is using FASTER to track more asset types than were originally approved by OFM in 2002. The issue now is certifying the SAAM specifications for agency asset management systems. Draft response is being reviewed.
DFW		Contract and Project System (CAPS) release 2	\$545,000 + \$75,000/yr (From Art Overman's 8/26/05 meeting notes)	Closed. No official approval request was submitted. Enterprise solution will be available when TALS is implemented.
SBCTC	10/18/06	Requested direction on how to include the Roadmap in their plans for a new purchasing system for the colleges		Continue communicating the Roadmap vision and timeline with SBCTC.

Distribution: Roadmap Website.